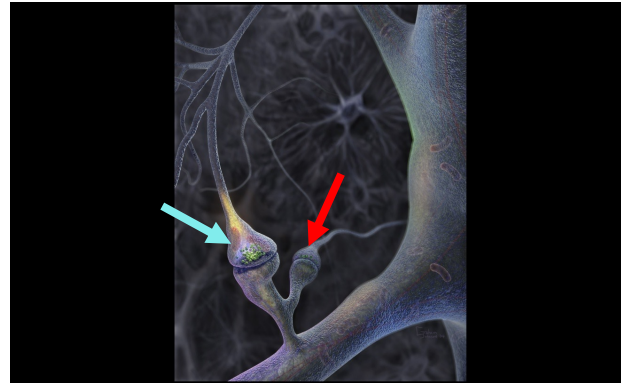
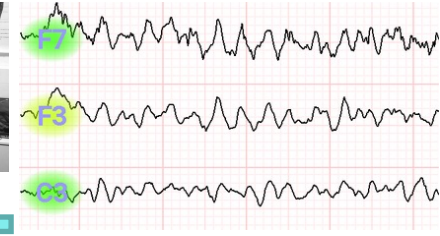
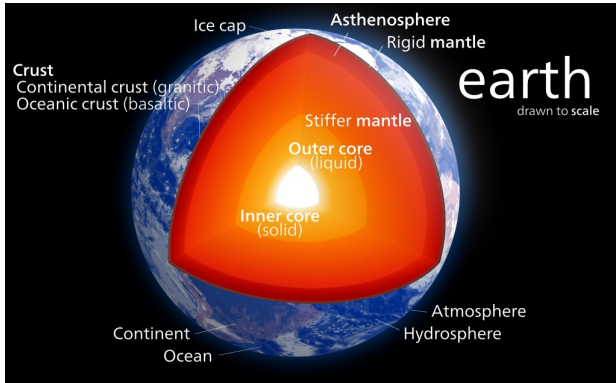
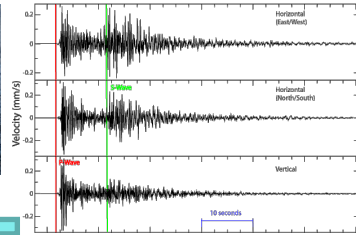


Testing hypotheses with SPM & DCM

Peter Zeidman, PhD
Wellcome Centre for Human Neuroimaging
University College London

Inverse problems



Empirical science

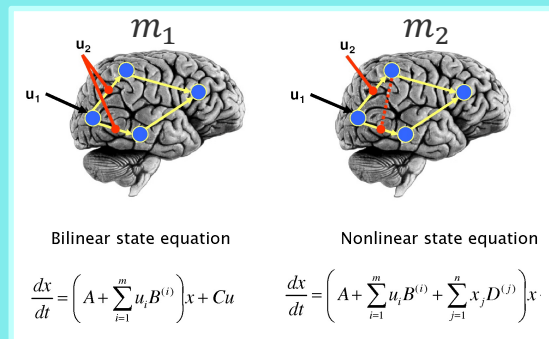
Which hypothesis (model)
offers the best explanation
for my data?

Model evidence
(marginal likelihood)

$$\frac{p(y|m_1)}{p(y|m_2)}$$

Likelihood ratio
(Bayes factor)

Bayesian model comparison



Eight steps to DCM for fMRI success

1. Write down some **hypotheses**
2. **Design** an experiment
3. Data collection and **pre-processing**
4. Functional **localisation**
5. First-level **DCM**
6. Group analysis using Parametric Empirical Bayes (**PEB**)
7. Bayesian **model comparison**
8. Assess **predictive** validity
9. (Write the paper)
10. (Nobel Prize)



1. Write down some hypotheses

DCM is a tool for scoring the evidence for different hypotheses. It is not an exploratory technique.

Commonalities

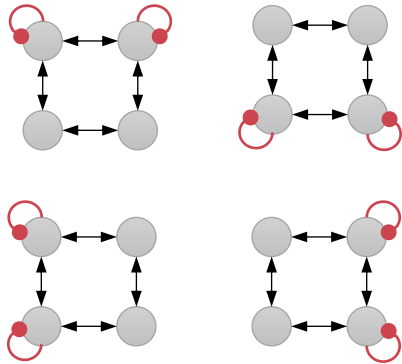
“I hypothesise that top-down connections from parietal cortex are modulated by attention to visual stimuli.”

Differences

“I hypothesise that people with a diagnosis of Mild Cognitive Impairment (MCI) have weaker modulation of top-down connections by attention.”

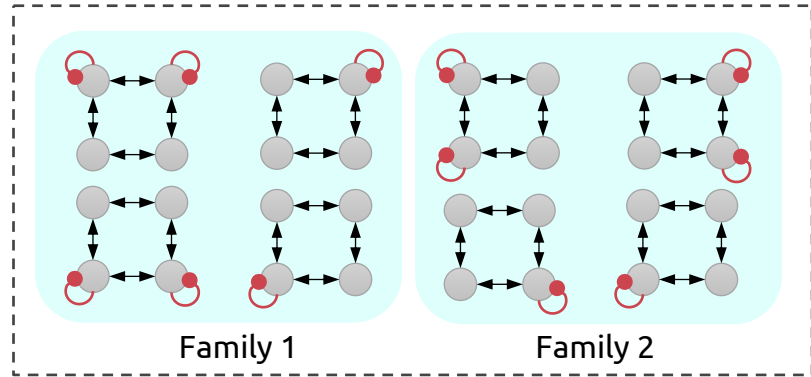
1. Write down some hypotheses

One hypothesis → one model



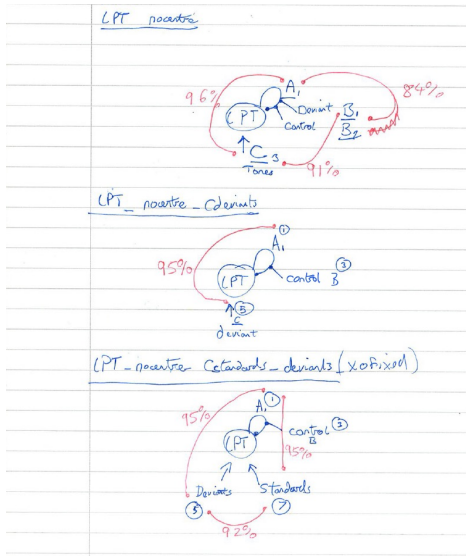
One hypothesis → one family of models

A "model space"



1. Write down some hypotheses

Drawing a diagram for each hypothesis can help!



Eight steps to DCM success

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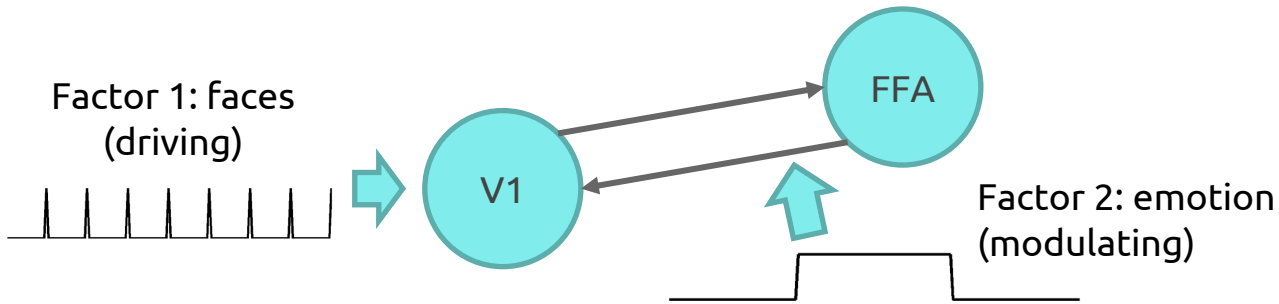
2. Design an experiment

Use a factorial design where possible

e.g. [2 x 2] design:

Factor 1: faces or upside down faces

Factor 2: attend to emotion or attend to hair colour



2. Design an experiment

Favour controlled tasks over resting state where possible

Rest is great when...

- Participants cannot perform tasks
- You are interested in resting state brain dynamics

Any others?

There's a DCM for that

- Use DCM for cross-spectral densities (Spectral DCM)
- Studies often have a factorial design at the between-subjects level (e.g. two groups, pre- and post-intervention)

Resting state example

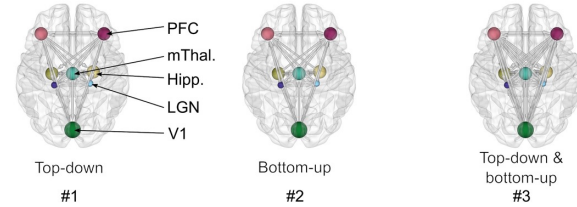
“Are visual hallucinations in Parkinson’s disease explained by **impaired bottom-up integration** of sensory information and **overweighting of top-down perceptual priors** within the visual system?”

Participants:

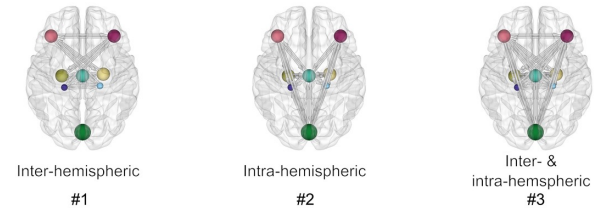
- 15 Parkinson’s disease visual hallucinators
- 75 Parkinson’s disease non-visual hallucinators.

Model space

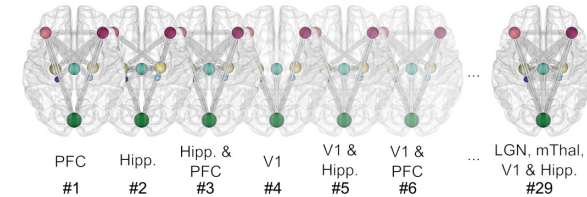
A FACTOR 1: TOP-DOWN / BOTTOM-UP CONNECTIONS



B FACTOR 2: INTER- / INTRA-HEMISPHERIC CONNECTIONS

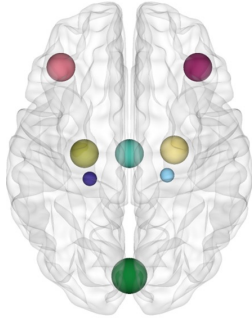


C FACTOR 3: REGIONAL INVOLVEMENT

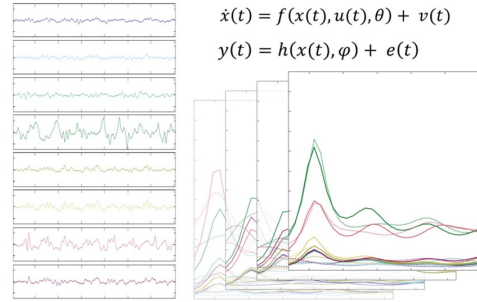


Resting state example

Visual network nodes



Spectral dynamic causal modelling

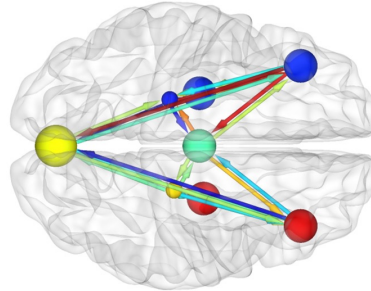


$$\dot{x}(t) = f(x(t), u(t), \theta) + v(t)$$

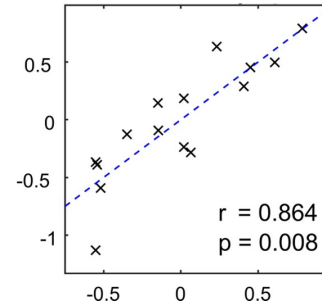
$$y(t) = h(x(t), \varphi) + e(t)$$

90 participants with Parkinson's disease
15 with visual hallucinations

Connectivity differences in
visual hallucinations



Connectivity association with
hallucination severity



2. Design an experiment

Favour controlled tasks over resting state where possible

Rest is great when...

- Participants cannot perform tasks
- You are interested in resting state brain dynamics

Any others?

There's a DCM for that

- Use DCM for cross-spectral densities (Spectral DCM)
- Studies often have a factorial design at the between-subjects level (e.g. two groups, pre- and post-intervention)

Eight steps to DCM success

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7. Bayesian **model comparison**
8. Assess **predictive** validity

3. Data collection and pre-processing

No special considerations for DCM



Functional MRI acquisition and image reconstruction

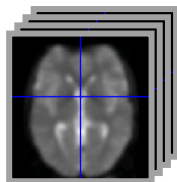
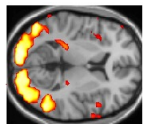
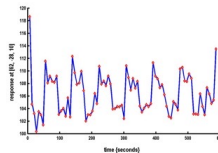


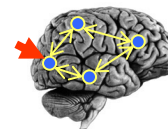
Image pre-processing (realignment, co-registration, normalisation, smoothing)



Statistical Parameter Mapping (SPM) / General Linear Model



Timeseries extraction from Regions of Interest (ROIs)



Dynamic Causal Modelling (DCM)

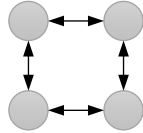


DCM

Eight steps to DCM success

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4. Functional localisation



A network consists of nodes (brain regions) and connections. We need to select the nodes.

Task based experiments

The purpose of DCM is to infer the underlying neural connectivity that gave rise to your SPM results.

→ **Select Regions of Interest using your contrasts**

Resting state experiments

The purpose of DCM is to infer the underlying neural connectivity that caused the functional connectivity (correlations or cross-spectral density) among pre-selected brain regions.

→ Select Regions of Interest from previous literature, anatomical hypotheses or an initial PCA or ICA

Eight steps to DCM success

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8. Assess **predictive** validity

5. First level DCM

Two outputs:

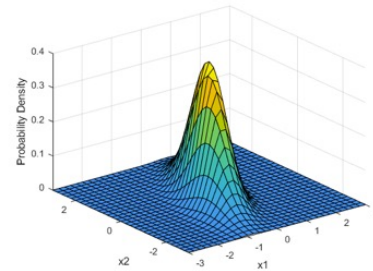
Free energy

Approximation of the log model evidence $P(Y|m)$

$$F \approx \log P(Y|m) = \text{accuracy} - \text{complexity}$$

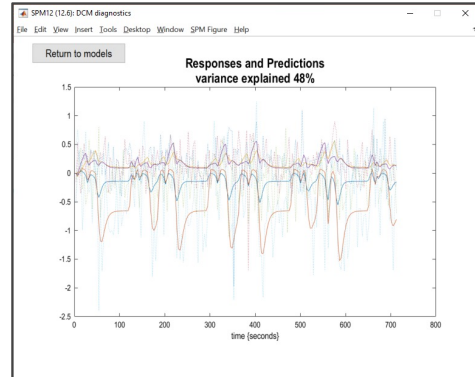
Estimated parameters

Posterior (multivariate Gaussian) probability $P(\theta|Y, m)$



5. First level DCM

Check the variance explained by your models



```
spm_dcm_fmri_check(DCM);
```

(10% or more is considered non-trivial)

Eight steps to DCM success

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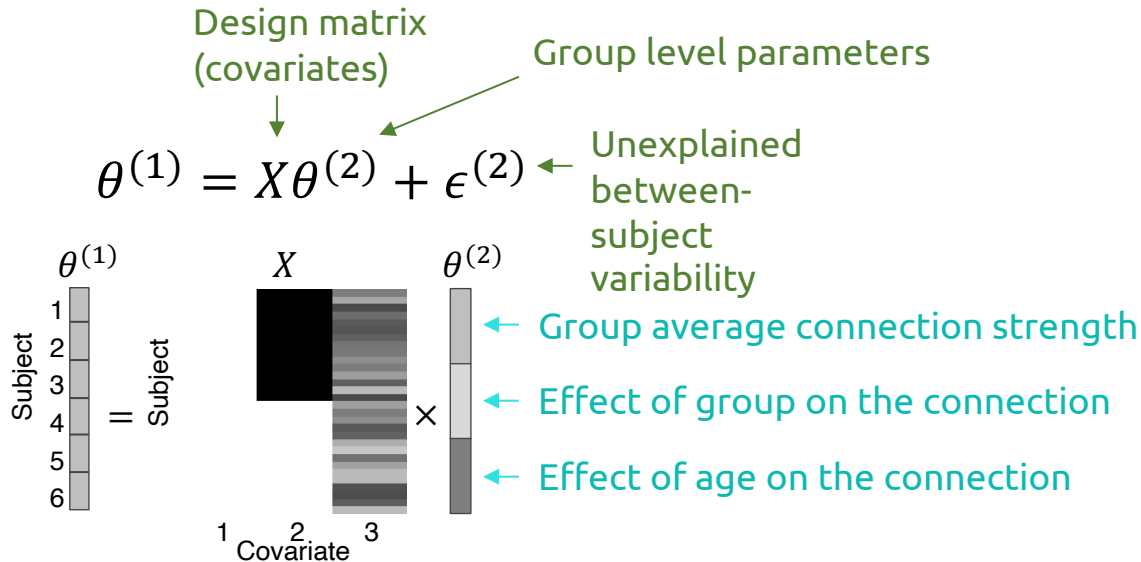
6. Group analysis using Parametric Empirical Bayes (PEB)

Group-level questions:

- Are the strength of particular connections changed by an experimental manipulation?
- Does belonging to a diagnostic **group** determine the strength of these connections?
- Does the strength of the connections correlate with **behavioural or clinical variables**?
- Could we **predict** a new participant's disease status or behavioural scores using our estimate of their connections?

6. Group analysis using Parametric Empirical Bayes (PEB)

The connectivity parameters are taken to the group level and modelled using a General Linear Model



Outputs:

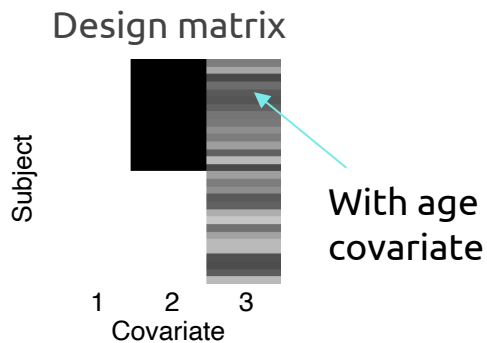
- One free energy for the entire group-level model (DCMs and GLM).
- Group-level parameters (effect of each covariate on each connection)

Eight steps to DCM success

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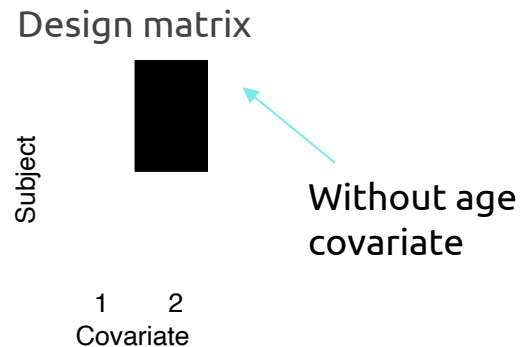
7. Bayesian model comparison

PEB model 1



Free energy F_1

PEB model 2



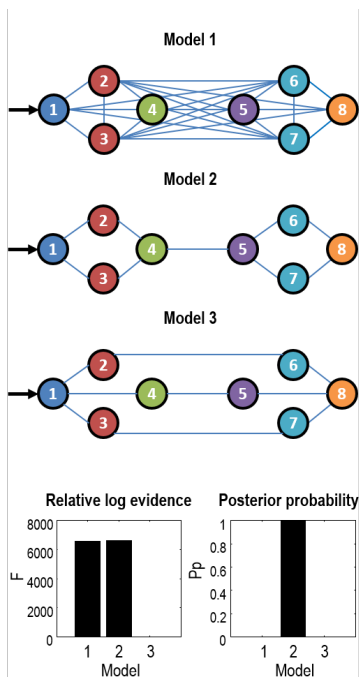
Free energy F_2

$$\log BF = F_1 - F_2$$

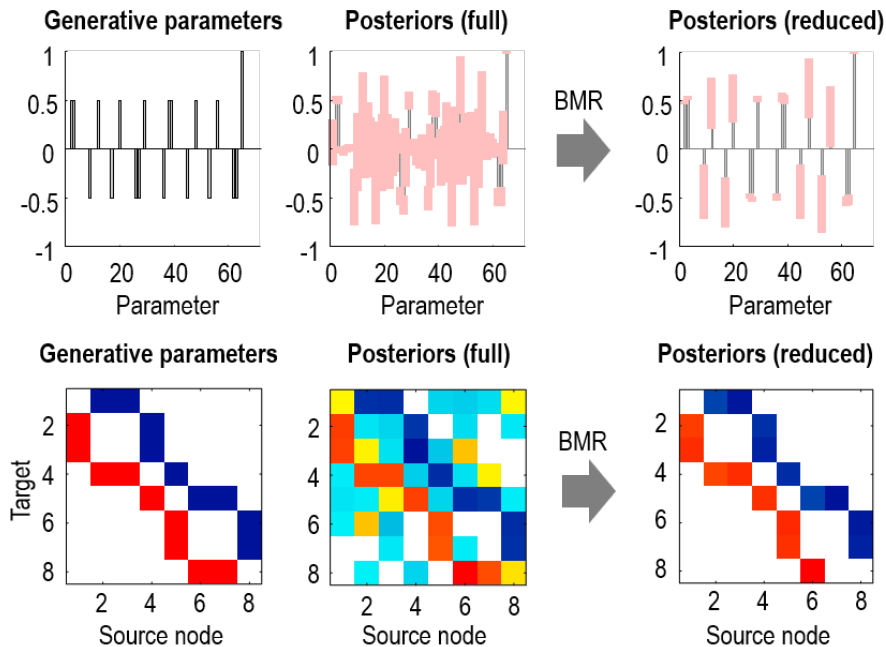
(The free energy for nested models is derived analytically using Bayesian Model Reduction)

Bayesian model reduction

Pre-defined models



Automatic search



Eight steps to DCM success

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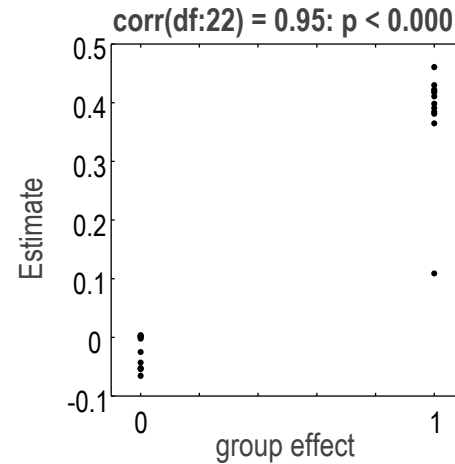
8. Assess predictive validity

The question

Are the effect sizes I detected large enough to predict the group membership or clinical scores of **new** participants?

→ Leave-one-out (LOO) cross-validation

Predicted vs actual covariates

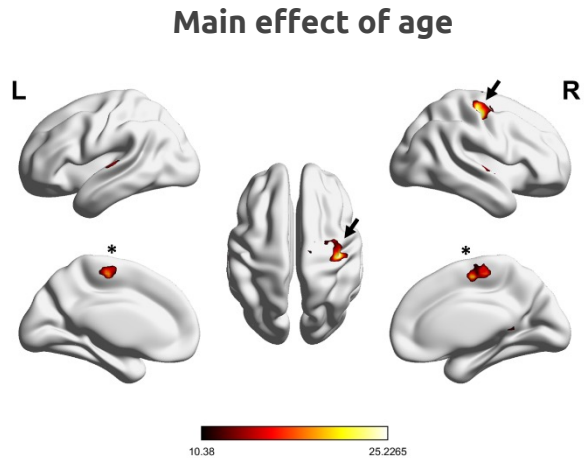


Eight steps to DCM success

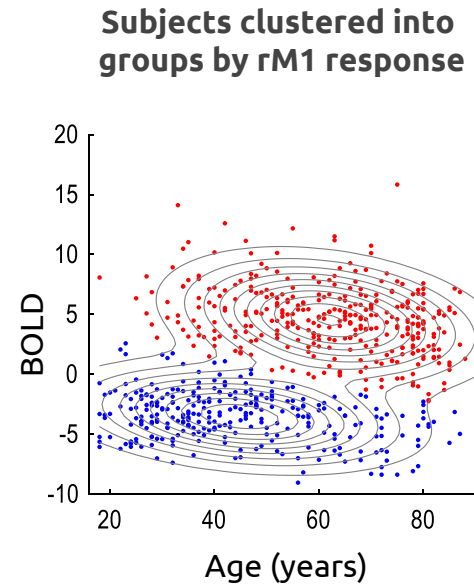
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The ageing brain: ipsilateral M1



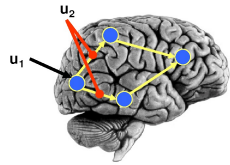
N=635 participants aged 18–88 (Cam-CAN)



The ageing brain: DCM

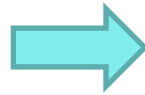
Dynamic Causal Modelling (DCM) for fMRI

Neural model

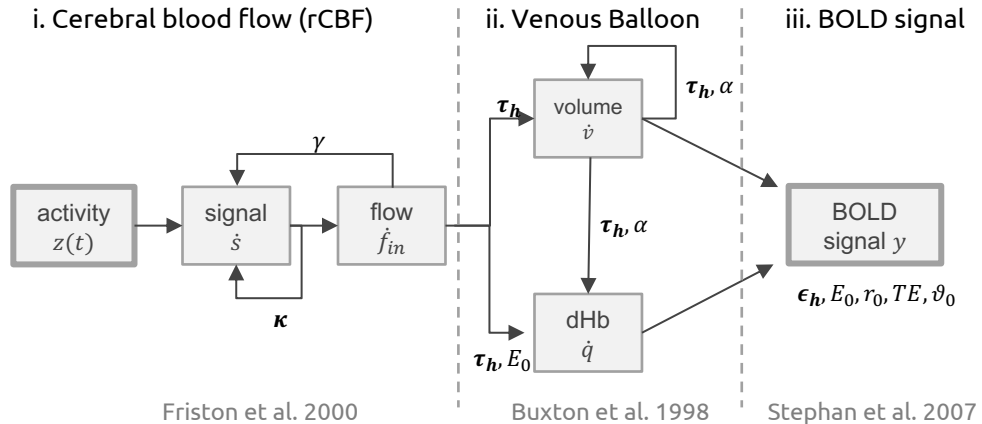


Bilinear state equation

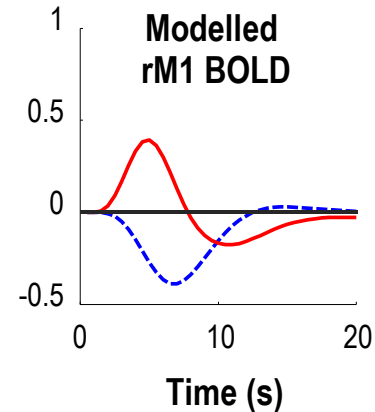
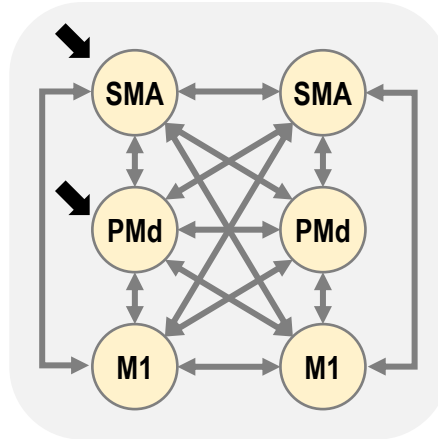
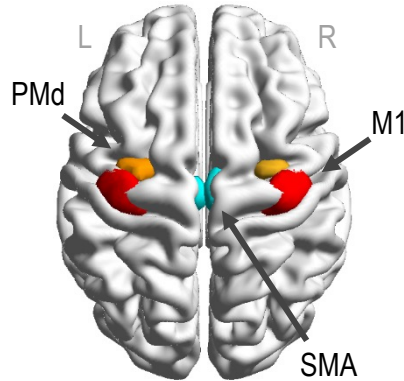
$$\frac{dx}{dt} = \left(A + \sum_{i=1}^m u_i B^{(i)} \right) x + Cu$$



Haemodynamic Model



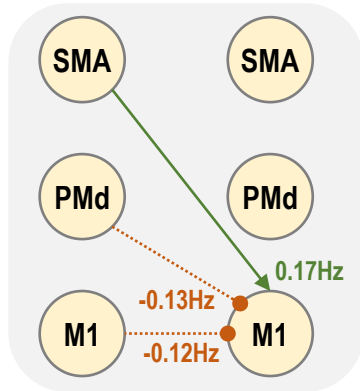
The ageing brain: model structure



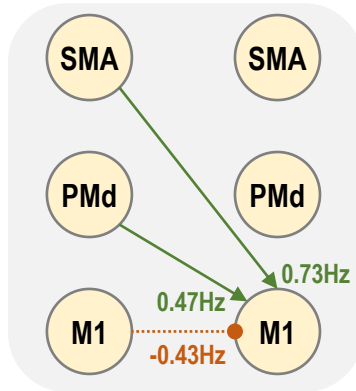
The model successfully captured the difference in the right M1 BOLD response between **younger** and **older** responders.

The ageing brain: model parameters

Younger subjects

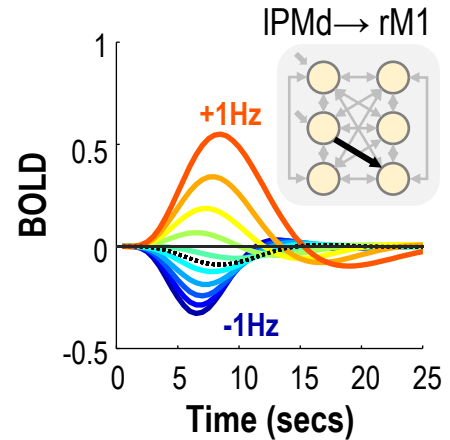


Older subjects



→ Positive connection ······● Negative connection

In silico experiment

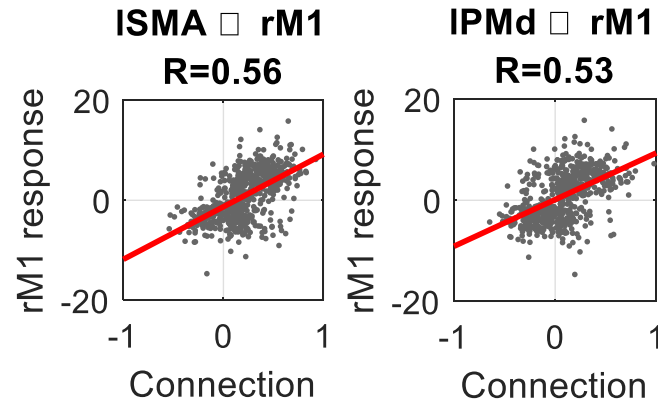


Increasing ISMA → rM1, IPMd → rM1 or IM1 → rM1 connection strengths *in silico* could flip the sign of the BOLD response, mirroring the ageing process.

The ageing brain: cross-validation

Only the ISMA → rM1 and IPMd → rM1 connections correlated with rM1 BOLD across subjects.

Total variance explained: 44%



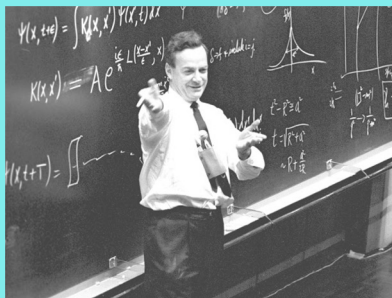
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8. Assess **predictive** validity



“What I cannot create I
do not understand.”

—Richard Feynman



Further reading

Tutorial papers:

Zeidman, P., Jafarian, A., Corbin, N., Seghier, M.L., Razi, A., Price, C.J., Friston, K.J. **A guide to group effective connectivity analysis, part 1: First level analysis with DCM for fMRI.** *NeuroImage*, 200, pp. 174-190. 2019.

Zeidman, P., Jafarian, A., Seghier, M.L., Litvak, V., Cagnan, H., Price, C.J., Friston, K.J. **A guide to group effective connectivity analysis, part 2: Second level analysis with PEB.** *NeuroImage*, 200, pp. 12-25. 2019.

Technical papers:

Friston, K., Parr, T. and Zeidman, P., 2018. **Bayesian model reduction.** arXiv:1805.07092.

Friston, K.J., Litvak, V., Oswal, A., Razi, A., Stephan, K.E., Van Wijk, B.C., Ziegler, G. and Zeidman, P., 2016. **Bayesian model reduction and empirical Bayes for group (DCM) studies.** *NeuroImage*, 128, pp.413-431.

Zeidman, P., Friston, K. and Parr, T., 2022. **A primer on Variational Laplace.** <https://doi.org/10.31219/osf>.